

FORM PTO-1390
REV. 2/01T

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A
FILING UNDER 35 U.S.C. 371**

05725.1557-00000

U.S. APPLICATION NO.
(If known, see 37CFR1.5)

10/563,604

INTERNATIONAL APPLICATION NO.

PCT/EP04/07199

INTERNATIONAL FILING DATE

June 7, 2004

PRIORITY DATE CLAIMED

July 17, 2003

TITLE OF INVENTION: HAIR COLORING SYSTEM AND TEST DEVICE CAPABLE OF BEING USED IN SUCH A SYSTEM

APPLICANT(S) FOR DO/EO/US: Florence LAURENT

Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed with the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154 (d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ Information Disclosure Statement under 37 CFR 1.97 and 1.98
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A Substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154 (d)(4).
19. ☐ A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).
20. ☒ Other items or information:
 - a. ☐ Copy of cover page of International Publication No. WO
 - b. ☒ Copy of Notification of Missing Requirements.
 - c. ☐

U.S. APPLICATION NO. (If known, see 37CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/563,604		PCT/EP04/07199		05725.1557-00000	
21. <input type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):				\$ 300.00	
National Stage Search Fee				\$ 500.00	
National Stage Examination Fee				\$ 200.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of additional 50 or fraction thereof (rounded up to a whole number)		Rate	
85- 100 =	33/50=			x 250.00	\$
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)).				<input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30	\$ 130.00
CLAIMS	NUMBER FILED		NUMBER EXTRA	RATE	
Total Claims	- 20 =			x \$ 50.00	\$
Independent Claims	- 3 =			x \$200.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+\$360.00	\$
TOTAL OF THE ABOVE CALCULATIONS =				\$ 130.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$ 130.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest priority date (37 CFR 1.492(f)).				<input type="checkbox"/> 20 <input type="checkbox"/> 30	\$
TOTAL NATIONAL FEE =				\$ 130.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.				+	\$ 40.00
TOTAL FEES ENCLOSED =				\$ 170.00	
10/19/2006 GEREY1 00000111 10563604				Amount to be refunded:	\$
01 FC:1637 130.00 OP				charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ 130.00 to cover the surcharge fee for late filing of the Declaration and a check in the amount of \$ 40.00 to cover the Assignment recordation fee are enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0916.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.					
901 New York Avenue, NW					
Washington, D.C. 20001-4413					
DATED: October 16, 2006					
Thalia V. Warnement/Registration No.39,064					
NAME/REGISTRATION NO.					



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/563,604	Florence Laurent	05725.1557

INTERNATIONAL APPLICATION NO.

PCT/EP04/07199

I.A. FILING DATE	PRIORITY DATE
06/07/2004	07/17/2003

22852

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER
LLP901 NEW YORK AVENUE, NW
WASHINGTON, DC 20001-4413**RECEIVED**

AUG 17 2006

CONFIRMATION NO. 3315

371 FORMALITIES LETTER



OC000000020007449

Date Mailed: 08/15/2006

FINNEGAN, HENDERSON, FARABOW,
GARRETT AND DUNNER, LLP

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/06/2006
- Copy of the International Search Report filed on 01/06/2006
- Preliminary Amendments filed on 01/06/2006
- Request for Immediate Examination filed on 01/06/2006
- U.S. Basic National Fees filed on 01/06/2006
- Priority Documents filed on 01/06/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$360 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$490 for a Large Entity:

Docketed 8/17/06 Attorney TU/TW/PAG
Case 5725-1557
Due Date 10/15/06 - ext
Action DECL Sur due
By (signature) MB

- **\$130** Surcharge.

- Total additional claim fee(s) for this application is \$ **360**

- **\$360** for multiple dependent claim surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/563,604	PCT/EP04/07199	05725.1557

PATENT
Customer No. 22,852
Attorney Docket No. 05725.1557-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Stage of International)	
Application No. PCT/EP04/07199 of:)	
Florence LAURENT)	Group Art Unit: Unassigned
)	
Application No.: 10/563,604)	Examiner: Unassigned
)	
Filed: January 6, 2006)	Confirmation No.: 3315
)	
For: HAIR COLORING SYSTEM AND)	
TEST DEVICE CAPABLE OF)	
BEING USED IN SUCH A SYSTEM)	

SUBMISSION OF ASSIGNMENT OF APPLICATION

Mail Stop Assignment Recordation Services
Director of the United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant hereby submits a copy of the executed Assignment in the above-identified patent application from **Florence LAURENT**, assigning her rights in the invention to **L'ORÉAL**. Please record the Assignment and notify the undersigned of the recordation data.

The required \$40.00 recordation fee is enclosed.

Please charge any additional required fees to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: 
Thalia V. Warnement
Reg. No. 39,064

Dated: October 16, 2006